

USDA Foreign Agricultural Service

GAIN Report

Global Agricultural Information Network

THIS REPORT CONTAINS ASSESSMENTS OF COMMODITY AND TRADE ISSUES MADE BY
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POLICY

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Canada

Food and Agricultural Import Regulations and Standards - Certification

FAIRS Export Certificate Report

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Report Highlights:

The report identifies export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. This report serves as a supplement to the Food and Agricultural Importation Regulation Narrative Report (FAIRS/CA15117). Updates, including new hyperlinks and up-to-date contact information, were made to the report and additional electronic copies of certificates have been made available to U.S. exporters.

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Executive Summary

This report identifies export certificates issued by recognized U.S. government authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is an update to the Food and Agricultural Importation Regulation Report (FAIRS) Export Certificate Narrative Report (CA15117). This is not intended as a compendium of Canadian import requirements but rather as a supplement to the Food and Agricultural Import Regulations and Standards Narrative Report (FAIRS) submitted in December 2015. It was prepared by the Office of Agricultural Affairs of the USDA/Foreign Agricultural Service in Ottawa, Canada for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because requirements have changed since this report's preparation, or because clear and consistent information about these requirements were not available. It is strongly recommended that U.S. exporters verify the full set of import requirements at:

Automated Import Reference System (AIRS) of the Canadian Food Inspection Agency
<http://www.inspection.gc.ca/english/imp/airse.shtml>

U.S. companies may consult with their Canadian customers to ensure the product meets complete compliancy. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

Table A. List of All Export Certificates Required By Government:

Product(s)	Name of Certificate	Purpose/Attestation	Issuing Authority	Authority Requiring Certificate	Legal Reference	Electronic Copy Attached
All	NAFTA Certificate of Origin CBP, form 434	To claim preferential tariff treatment	Bureau of Customs and Border Protection	Canada Border Service Agency(CBSA)	NAFTA	YES
Meat and Poultry	Certificate for Export of Meat and Poultry Products (Form 9135-3 and 9135-3A)	Product Safety and Wholesomeness	Food Safety and Inspection Service Food Safety and Inspection Service (FSIS)	Canadian Food Inspection Agency (CFIA)	Meat Inspection Regulations	YES
Eggs	Export Certification FSIS Form 9060-5EP	Wholesomeness	FSIS	CFIA	Egg Regulations	NO

Product(s)	Name of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate	Legal Reference	Electronic Copy Attached
Bison (Buffalo)	USDA Beef Export Verification (EV) Program Certificate	To attest to eligibility to export beef to Canada	Agricultural Marketing Service(AMS)	CFIA	Meat Inspection Regulations	NO
Pet Food	Zoosanitary Certificate	U.S. origin and food safety	Animal and Plant Health Inspection Service (APHIS)	CFIA	Health of Animals Act	YES
Fresh Fruits and Vegetables	FV-205 Certificate of Inspection for Canadian Destinations	Grading and Quality	AMS	CFIA	Fresh Fruit and Vegetable Regulations	YES
Live Animals, Honeybees	Animal Health Export Certificate VS Form 17-140	Animal Health	APHIS	CFIA	Animal Health Regulations	NO
Plant and Plant Products	Phytosanitary Certificate PPQ Form 572	Plant Health, Freedom from Pests	APHIS	CFIA	Plant Health Regulations	YES
Fish and Seafood	National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate	Vessel And Plant Sanitation, Product Inspection, Grading, Safety And Wholesomeness	NOAA	None	Voluntary	NO
Other Foods	U.S. Food Drug Agency (FDA) Certificate of Export	The Food is Regulated by FDA	FDA	Not a requirement of entry	N/A	NO

Section I. Purpose and Type of Export Certificate(s)

A. All Products: NAFTA Certificate of Origin

This is a trilaterally agreed upon form used by Canada, Mexico, and the United States to certify that goods qualify for the preferential tariff treatment accorded by NAFTA. The Certificate of Origin (CBP Form 434) must be completed by the exporter and can be obtained at the U.S. Customs and Border Protection website:

http://www.cbp.gov/sites/default/files/documents/CBP%20Form%20434_3.pdf

All NAFTA forms require a Harmonized Tariff Schedule classification number to be noted in section 6 of the Certificate. The International Trade Commission of the U.S. Government provides an online reference tool to assist exporters identify their HS number at:

http://hts.usitc.gov/hts_search.asp?search_txt=tariff

Note: A NAFTA Certificate of Origin is not required on shipments less than C\$2,500.00. The Certificate need not accompany the shipment but is to be sent to the importer who then is expected to retain the copy on file for up to five years.

B. Meat, Poultry and Processed Egg Products

The USDA's Food Safety and Inspection Service is responsible for certification of meat, poultry and processed egg products. New exporters of these products are urged to review these requirements and at:

Exporting Shipment Requirements to Canada

<http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Canada>

This page contains information on eligible and ineligible products for certification, and slaughter, processing, labelling and marking, all documentation requirements.

B1. Meat and Poultry

FSIS Forms 9135-3/9135-3A, Certificate for Export of Meat and Poultry Products to Canada certifies that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Canadian Meat Inspection regulations for imports of meat and poultry from the United States. Additional information about procedures for exporting meat and poultry to Canada can be obtained at the following web link:

Export Requirements for Canada – Meat, Natural Casings, and Poultry

<http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Canada-Special>

A copy of FSIS 9135-3 and Instructions on Completing the FSIS 9135-3

http://www.fsis.usda.gov/wps/wcm/connect/1fe8f850-16e9-4123-8790-f07321194e6e/9135a_guideline.pdf?MOD=AJPERES

Note: These forms are to be signed by an Official Veterinarian.

B2. Eggs and Processed Egg Products

Eligible egg products for exports can vary from state to state and U.S. producers are urged to review these requirements at:

Export Egg Requirements

<http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/requirements-for-processed-egg-products/canada-egg-products>

As of August of this year, FSIS inspectors now issue an Egg Products Export Certificate of Wholesomeness (FSIS Form 9060-5EP) on each export shipment.

For those processed products containing small amounts of egg products, such as imitation egg products, frozen egg patties, and frozen omelets may choose to participate in the voluntary Processed Egg and Egg Products Export Verification Program has been established. This program verifies the product is wholesome for export. A letter of agreement between the Foreign Agricultural Service and the Office of International Affairs of the FDA provides USDA's Agricultural Marketing Service (AMS) to conduct onsite verification and issue export certificates.

Voluntary Export Certification Requirements or Processed Eggs

<http://www.ams.usda.gov/services/imports-exports/further-processed-egg-products-certification>

For any shipments of FDA-regulated products containing eggs previously certified by FSIS using the PY-200, exporters seeking a replacement certificate are to contact FSIS headquarters at 1-855-444-9904 or by e-mail at importexport@fsis.usda.gov.

B3. Bison - USDA Export Verification (EV) Program

Meat and meat products derived from bison or buffalo are eligible for export to Canada. However, Canada applies the same BSE requirements to these products as for beef and beef products. Since U.S. BSE regulations do not apply to bison or buffalo, meat and meat products derived from these species must be produced under an approved AMS EV program. The requirements apply to U.S. suppliers (slaughterers, fabricators, or processors) of bison, bison product, and bison offal items that are eligible for export to Canada as listed with the Food Safety and Inspection Service (FSIS). Meat and meat products derived from this species must be produced under an approved Agriculture Marketing Service (AMS) EV program. The Audit, Review, and Compliance Branch of AMS has been assigned responsibility for reviewing and approving companies as eligible suppliers of meat and meat products under the USDA Export Verification (EV) Programs. Additional information about the EV program and a list of EV approved establishments can be obtained from the following websites:

Specified Product Requirements for Bison - Canada

http://www.ams.usda.gov/sites/default/files/media/Canada_and_EU_Bison%5B1%5D.pdf

Official Listing of Eligible Suppliers to the USDA Bovine EV Programs

<http://www.ams.usda.gov/sites/default/files/media/LSOfficialListingEVProgram.pdf>

C. Pet Foods – Zoosanitary Certificate

As of September 1, 2015 of this year, all heat-processed, shelf stable pet foods and treats exported to Canada with animal or animal byproducts must be accompanied with a zoosanitary (health certificate) endorsed by Animal and Plant Health Inspection Service (APHIS) Veterinary Services. Starting June 30th of 2016, facilities inspection will be required as well with all documentation. For those products with no animal byproducts, the processor must ensure the ingredient listing is displayed on the label or accompanied with all customs paperwork.

To review these changes go to:

Canada – Pet Foods Treats, Compound Chew – Heat Processed, Shelf Stable Products

https://www.aphis.usda.gov/wps/portal/aphis/ourfocus/animalhealth/sa_export_from_us/sa_animal_products/sa_international_regulations/sa_by_country/sa_c/ct_product_canada!/ut/p/a1/jdDbCoJAEAbgN3ImtdRLLdM1zSBK25vQ2myhVNatoKdvi-gi6DB3A9PHIBCDrQuzrwqJG_q4nDv6WAdpaHe81AnwdzxxUyX48SOLSMNTQVWCgwDNzStGBFNW0cy8sKR5SSIZPBfHj-Ui7_y0S-QeUCTjvBrBRnQNx34ntLjeGZNIh0n_Sf4ds4DfNIXLVQdmlL9Lhu-Jqsr3bo07AqoYDsmmND2TSchF2zLBdtIrZOFZFpXnqA9LvLrrPV3Cb_cAOQUssA!/?1dmy&urile=wcm%3apath%3a%2Faphis_content_library%2Fsa_our_focus%2Fsa_animal_health%2Fsa_export_from_us%2Fsa_animal_products%2Fsa_international_regulations%2Fsa_products_canada%2Fct_product_canada_non_bovinae_petfood

D. Ready-To-Eat (RTE) Products: EV Program

This program was initially established for closed-faced sandwiches being exported to Canada. In the future, this program is likely to include other RTE programs. Only those manufacturing establishments participating in this EV program can export to Canada. To program is managed by the American Marketing Service (AMS). To learn more about this program go:

Microbiological Testing of Ready-To-Eat (RTE) Products Destined for Canada

<http://www.ams.usda.gov/services/imports-exports/rte-canada>

E. Fresh Fruit and Vegetable - Inspection Certificate

All fruits and vegetables imported into Canada must meet specific standards and packaging regulations laid out in the Canada Agricultural Products Act (CAPA), Fresh Fruit and Vegetable Regulations and Processed Product Regulations. Exporters are urged to review these regulations on the Canadian Food Inspection Agency site at:

Overview – Import and Interprovincial Requirements for Fresh Fruit and Vegetables

<http://www.inspection.gc.ca/english/fssa/frefra/cdnreque.shtml>

All fresh and vegetable export must obtain a USDA's Agricultural Marketing Service (AMS) certification (FV 205) and verification. An overview of the program can be found here:

Specialty Crop Export Verification

<http://www.ams.usda.gov/services/imports-exports/sci>

Leafy Greens originating in California face extra scrutiny at the Canadian border. More information can be found at:

<http://www.inspection.gc.ca/food/fresh-fruits-and-vegetables/imports-and-interprovincial-trade/californian-leafy-greens/eng/1362372169428/1362372248701>

Under the Export Apple Act announced as part of the 2014 Farm Bill, U.S. exporters no longer are required to obtain inspection to satisfy a USDA requirement on their apples shipped in bulk bins weighing 101 pounds or more to Canada or other countries. More information on the U.S. Export Apple Act can be found at:

<http://www.ams.usda.gov/AMSV1.0/getfile?dDocName=STELPRDC5106885>

E1. Beyond the Border (BtB) Action Plan on Fruits and Vegetables

In 2011, the U.S. President and the Prime Minister of Canada established a *Beyond the Border (BtB) Action Plan* with the objective of strengthening security and economic competitiveness. Under this plan, both governments have established pilot programs that help ease the flow of products both ways. More recently, the Canadian government agreed to reduce grade verification inspection rates for apples grown in the U.S. The program targets bulk apples shipments of 200 kg. (440 lbs.) or more that are subject to CFIA's Ministerial Exemption Procedures. The program is being phased in over a period of three years. This past year, 50% of the bulk shipments were inspected. In 2016, 25% of all bulk shipments will be inspected and in 2017, the target of shipments inspections will be reduced to 5%. To learn more about the program go to:

Memorandum on U.S. grown apple shipments

<http://cscb.ca/sites/cscb.ca/files/uploads/membtbapplepilot.pdf>

In early 2014, the Canadian government piloted removing the inspection requirement for U.S. grown onions. The program lasted a few months but since has been suspended since reciprocity was not achieved on both sides of the border.

F. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

The Animal Health and Plant Inspection Service (APHIS) of USDA issues health certificates of live animals and live reproductive organisms being exported from the United States. These forms are completed by an [accredited veterinarian](#) that certifies the herd and animal health status.

For a general overview of APHIS Export Regulations and Summary of Requirements for Canada go to:

Requirements for Canada

http://www.aphis.usda.gov/wps/portal/aphis/ourfocus/animalhealth?1dmy&urile=wcm%3apath%3a%2Faphis_content_library%2Fsa_our_focus%2Fsa_animal_health%2Fsa_export_from_us%2Fsa_live_animals%2Fsa_international_regulations%2Fsa_by_country%2Fsa_c%2Fct_animal_canada

Import Requirements of Canada for Honey Bee Queens from the U.S.

http://www.aphis.usda.gov/regulations/vs/iregs/animals/downloads/ca_hb_us_pc.pdf

APHIS Animal and Animal Product Export Information

http://www.aphis.usda.gov/wps/portal/aphis/resources/permits!/ut/p/a1/04_Sj9CPykssy0xPLMnMz0vMAfGjzOJNPC2MjIwNjDwtggPNDDzdAvxMnY2MDA3czYAKIoEKnN0dPUzmfQwMDEwsjAw8XZw8XMwtfQ0MPM2I02-AAzgaENIfrh-FqsTd0NEFqC_Yxy_Qw83AwNsQqgCfE8EK8LihIDc0wiDTUxEAKafnoA!/?1dmy&urile=wcm%3a%2Faphis_content_library%2Fsa_our_focus%2Fsa_animal_health%2Fsa_export_from_us%2Fct_animal_and_animal_product_export_information

NOTE: Currently Canada is not accepting any ruminants, camelids, horses, or swine originating from states currently affected with vesicular stomatitis virus (VSV) or from states with premises currently under quarantined because of vesicular stomatitis virus.

For all ruminants, camelids, horses, and swine from states other than VSV affected states the following statement must be provided on supplementary certification:

"All states in which the animal(s) have resided in the past twenty-one (21) days were free from clinical and epidemiological evidence of vesicular stomatitis during the twenty-one (21) days immediately prior to export to Canada".

Further updates and changes on export certification procedures may be foundo

Canada

https://www.aphis.usda.gov/wps/portal/aphis/ourfocus/animalhealth/sa_export_from_us/sa_live_animals/sa_international_regulations/sa_by_country/sa_c/ct_animal_canada!/ut/p/a1/1ZBbc4IwEIV_jY9M1gQNedR6AbW1rdNaeMmEm2SEwMTg1H9fRDqdPqhtnnI2X3bPHhSgDxQocZQ7YWSpRH7WwZAv1i7ujwF78w2bgvf0Pnt0VpSsXbsB_AZ4mI9cm64AwHYweJOxO6HsEcAb3vu_ROEKImUqkyFfVJk88KhUJIGG5zLUQp96cBC8rDVPy6g-tEooWYicZ4nITdZWks-q1Ianuix4B-XymHTkpSCbtlp1m3Gd7Oq8FZfX8NQMrpXpBkY9iMz3oEgoEYuz0yqSMfLjhIUkxMRKmUMsm4Z9S8TQt8IUU0pIzIYpdMnAITOCpyXzC5IPxw0yWz3T5QLDctABt8JvgRse_MYkveqC2Wjzz60Xd9ea_oKAH6yNmI7Sd_tyr4q1wyMnavzpABvuXLwyAuMw!/?1dmy&urile=wcm%3apath%3a%2Faphis_content_library%2Fsa_our_focus%2Fsa_animal_health%2Fsa_export_from_us%2Fsa_live_animals%2Fsa_international_regulations%2Fsa_by_country%2Fsa_c%2Fct_animal_canada

F1. Travelling with Pets to Canada

Although the scope of this report is largely focused on commercial shipments, U.S. travelers to Canada should be aware that certification may be required when travelling with pets. The circumstances will largely depend on the traveler's length of the stay, the species of the pet, the mode of travel, and other conditions. Visitors are encouraged to work closely with their veterinarian to ensure all paperwork is in place. To obtain current requirements, please review the following site offered by Canadian Food Inspection Agency (CFIA):

Travelling with Pets

<http://www.inspection.gc.ca/animals/terrestrial-animals/imports/policies/live-animals/pets/eng/1326600389775/1326600500578>

G. Plant and Plant Products Phytosanitary Certificate

The Animal and Plant Health Service Inspection Service (APHIS) is responsible for issuing the phytosanitary certificate. This paperwork certifies that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. Please review the following site to verify those plants and products that are require this certificate:

APHIS Export Services

https://www.aphis.usda.gov/wps/portal/aphis/ourfocus/importexport!/ut/p/a1/jZDLDoJADEW_xR-gFRFwCaLMACqaIDAbM-gJJDwMIN8vsBftqk3OSW4vMiAVbzPU97ldcWL8WbqzTkReWmiTG17ZyI97j1fcx0Zz8oAxDOAu7P39oGUTQPERVdRmqZxNI2B0Sq_ufjlzHwlx8Cm0XGDyZgLuIEzGRwgKVFnQx9hiYwEur51RiTG1Wy0lNgjXiKRjRSVrcdRO_2wVvBm3smjauU1j28yiCIMPfLS7z4APYW5Bk!/?1dmy&urile=wcm%3apath%3a%2Faphis_content_library%2Fsa_our_focus%2Fsa_plant_health%2Fsa_export%2Fct_export_services_program

Section II. Other Export Certification/Accreditation Requirements

A. NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program offers a variety of professional inspection services which ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and certification services on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on the Export Certification program can be obtained at the following web link:

NOAA Export Certification

http://www.seafood.nmfs.noaa.gov/export/export_certification/export_certification.html
<http://www.seafood.nmfs.noaa.gov/pdfs/canada.pdf>

B. Products Regulated by the Food and Drug Administration

The U.S. Food and Drug Administration can issue a Certificate of Export, which is also referred to as a Certificate of Free Sale. Some of these certificates are on a voluntary basis. These export certificates generally indicate that the product is marketed in the United States or eligible for export and that the product is not at that time the subject of any enforcement action by the FDA. Such certificates are neither guarantees nor a certification of the product's safety nor its quality. The Certificates of Export

are issued at the request of a domestic (U.S.) company by a FDA's Center for Food Safety and Applied Nutrition (CFSAN) or by a state governmental authority.

For more information on CFSAN, U.S. exporters are encouraged to contact the FDA export certificate specialists at:

Export Certificate Contacts

<http://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Exporting/ucm151486.htm>

Exporting Food Products from the United States

<http://www.fda.gov/food/guidanceregulation/importsexports/exporting/default.htm>

C. Organic

On June 17, 2009, the United States and Canada jointly announced an organics equivalency agreement, the first one of its kind. The equivalency agreement follows a review by both nations of the other's organic certification program and a determination that products meeting the standard in the United States can be sold as organic in Canada, and vice versa.

Producers and processors that are certified to the National Organic Program (NOP) standards by a U.S. Department of Agriculture accredited certifying agent **do not have to become separately certified to the Canada Organic Product Regulation (COPR) standards** in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COPR standards may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo may be used on certified products from both countries. An overview of the agreement can be accessed below:

Canada – US Organic Equivalence Agreement

<http://www.inspection.gc.ca/food/organic-products/equivalence-arrangements/us-overview/eng/1328068925158/1328069012553>

Most U.S. firms choose to be certified by the NOP of the Agricultural Marketing Service of the USDA. However as noted above, under the Equivalence Agreement U.S. companies may opt to be directly certified to the Canadian National Organic Standards under the Canada Organic Regime. For more information on the U.S. and Canadian standards and programs go to:

USDA - National Organic Program

<http://www.ams.usda.gov/AMSv1.0/NOP>

CFIA – Organic Products and Governing Bodies

<http://www.inspection.gc.ca/english/fssa/orgbio/orgbioe.shtml>

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic

foods. Organic claims are required to be printed in English and French. Please refer to the FAS Canada's 2015 GAIN Exporter Guide (CA 15113) for further explanation.

Section III. Specific Attestations Required on Export Certificate(s)

Export certificates require the attestations of an approved USDA inspector or veterinarian. U.S. exporters are recommended to first contact their local state agencies at:

North American State Departments of Agriculture
<http://www.nasda.org/9383/States.aspx>

U.S. food and agricultural companies should be aware there may be user fees on certain commercial shipments. Please check with the respective authorizing government office. See below for the nearest contact:

Table B. U.S. Contact Information:

Name of Certificate	Issuing Authority	U.S. Contact
NAFTA A Certifi cate of Origin CBP, form 434 Requi res Harm onize d Tariff Classi ficatio n Numb er	Bure au of Cust oms and Bord er Prot ectio n U.S. Dep artn ent of Com merc e	http://www.cbp.gov/sites/default/files/documents/CBP%20Form%20434_3.pdf https://uscensus.prod.3ceonline.com/ Email: eid.scheduleb@census.gov Tel. 1-800-549-0595, ext.#2
FSIS - Form	FSIS	http://www.fsis.usda.gov/wps/portal/informational/contactus!/ut/p/a1/04_Sj9CPyks sy0xPLMnMz0vMAfGjzOINAg3MDC2dDbz8LQ3dDDz9wgL9vZ2dDdydjYAKI

<p>9135-3/ 9135-3A. Certificate for Export of Meat and Poultry Products to Canada</p>		<p>vEocDclUj8O4GhASH-4fhReJSAXQBTgtqIgNzTCINNREQAaQZxN/?1dmy&current=true&urile=wcm%3apath%3a%2Ffsis-content%2Finternet%2Finformational%2Fabout-fsis%2Fkey-contacts%2Foffice-locations</p> <p>Email: MPH hotline.fsis@usda.gov</p>
<p>Export Certification Bison EV Program Fresh Fruit and Vegetable Inspection Certificate RTE EV Program National Organic Program</p>	<p>AMS</p>	<p>http://www.ams.usda.gov/services/imports-exports</p>
<p>Animal</p>	<p>APHIS</p>	<p>http://www.aphis.usda.gov/animal_health/area_offices/</p>

<p>Health Export Certificate VS Form 17-140</p> <p>Phytosanitary Certificate for Plants and Plant Products</p>		<p>Live Animals - Email: AskNCIE.Products@aphis.usda.gov</p> <p>Plants – Email: AskNCIE.Products@aphis.usda.gov</p>
<p>National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate</p>	<p>NOAA</p>	<p>http://www.seafood.nmfs.noaa.gov/export/export_certification/requestcert.html</p> <p>Email: NMFS.Seafood.Services@noaa.gov</p>
<p>U.S. Food Drug Agency (FDA) Certificate</p>	<p>FDA</p>	<p>http://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Exporting/ucm151486.htm</p>

cate of Expor t		

Please refer to details of certificates in Table A.

Appendix I. Electronic Copy of Selected Export Certificates

EXAMPLE: NAFTA CERTIFICATE OF ORIGIN

DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

OMB No. 1651-0088
Exp. 08-31-2014

**NORTH AMERICAN FREE TRADE AGREEMENT
CERTIFICATE OF ORIGIN**

19 CFR 181.11, 181.22

1. EXPORTER NAME AND ADDRESS [Redacted] TAX IDENTIFICATION NUMBER: [Redacted]		2. BLANKET PERIOD FROM [Redacted] TO [Redacted]			
3. PRODUCER NAME AND ADDRESS [Redacted] TAX IDENTIFICATION NUMBER: [Redacted]		4. IMPORTER NAME AND ADDRESS [Redacted] TAX IDENTIFICATION NUMBER: [Redacted]			
5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN
[Redacted]					

I CERTIFY THAT:


- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF [Redacted] PAGES, INCLUDING ALL ATTACHMENTS.

11a. AUTHORIZED SIGNATURE		11b. COMPANY		
11c. NAME		11d. TITLE		
11e. DATE	11f. TELEPHONE NUMBERS	(Voice)	(Facsimile)	
[Redacted]	[Redacted]	[Redacted]	[Redacted]	

CBP Form 434 (04/11)

EXAMPLE: FSIS FORM 9135-3, CERTIFICATE FOR EXPORT OF MEAT AND POULTRY PRODUCTS

FSIS form 9135-3

CONSIGNOR 1		CERTIFICATE NO. US-CA-2000- 3		
CONSIGNEE/IMPORTER 2		U.S. Department of Agriculture Food Safety and Inspection Service		
ORIGIN COUNTRY U.S.A.	PORT OF LOADING 4	EXPORT ESTABLISHMENT: (Establishment Number)		
DESTINATION CANADA		6		
MEANS OF TRANSPORT 5				
SHIPPING MARKS	NUMBER/ KIND OF PACKAGES	EST. / PLANT NUMBER ON PRODUCT	PRODUCT DESCRIPTION/ SPECIES LABEL APPROVAL NUMBER	NET WEIGHT (Check one and enter net weight) <input type="checkbox"/> lb. or <input type="checkbox"/> kg.
7	8	9	10	11
USDA SEAL NUMBER (S) (if Applicable) 12				
REMARKS 13				
<p>I CERTIFY that the meat or meat food product specified hereon is from animals that received both antemortem and postmortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome, and / or</p> <p>I CERTIFY that the poultry and poultry products specified above came from birds that were officially given an antemortem and postmortem inspection and passed in accordance with applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.</p>				
BY ORDER OF THE SECRETARY OF AGRICULTURE		NAME OF OFFICIAL VETERINARIAN (Typed) SAMPLE	14	DATE SIGNED 16
		SIGNATURE OF THE OFFICIAL VETERINARIAN	15	
FSIS FORM 9135-3 (01/01/2000)		PREVIOUS EDITIONS OBSOLETE		
ORIGINAL				

24 January 2014 1

EXAMPLE OF PET FOOD AND TREATS CERTIFICATE (PAGE 1)

Certificate Reference Number: _____

Page ___ of ___

VETERINARY EXPORT CERTIFICATE FOR PROCESSED¹ PET FOOD AND TREATS CONTAINING ANIMAL PRODUCTS AND BY-PRODUCTS FROM THE USA TO CANADA

(SINGLE SHIPMENT)

Consignor (Name and Address):	Consignee (Name and Address):
Processing Plant (Name and Address) and USDA-APHIS approval number if applicable ² :	Description of product(s): <input type="checkbox"/> Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (i.e., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight). <input type="checkbox"/> Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
Quantity of the products (Number and Type of packaging or Weight):	
Intended end use :	RESALE
Identification (Lot-batch or date of production):	
Container(s) and seal(s) number(s) :	
Port of Entry:	
Shipping Date:	
Means of transportation:	<input type="checkbox"/> Truck <input type="checkbox"/> Rail

Notes:

1: "Processed" includes only heat treated and shelf-stable products.

2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names, addresses, and USDA-APHIS approval numbers (if applicable).

3: The CFIA follows the [OIE categorization](#) of countries for BSE Risk Status.

4: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.

5: Bovine-origin animal proteins /processed proteins include those bovine-origin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrosylates, etc.

EXAMPLE OF PET FOOD AND TREATS CERTIFICATE (PAGE 2)

Certificate Reference Number: _____

Page ____ of ____

I, the undersigned Official Veterinarian, designated by the Government of the United States of America, certify, that this office has on file a notarized affidavit(s) from the manufacturer(s) [identified above or in the attached addendum] OR a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below:

1. The certified pet food and/or pet treats were processed in a facility or facilities located in the USA and authorized by the official competent veterinary authority in the United States to manufacture pet food containing animal products and by-products.²
2. The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]:
 - Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (i.e., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).
 - Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
3. In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insoluble impurities of 0.15% by weight.
4. In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than exempt ingredients, they meet the following requirements:
 - a. Bovine-origin raw materials [meat/offals]:
 - i. were harvested in the United States from U.S.-origin cattle or legally imported cattle that:
 - were presented live for slaughter AND
 - were not subjected to a stunning process prior to slaughter, with a device injecting compressed air or gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious prior to being bled

AND/OR [check all that apply]:

- ii. Were legally imported from a country or countries recognized by the CFIA to be of :
 - Negligible BSE Risk Status³ OR
 - Controlled BSE Risk Status [Insert name of country or countries]:
 _____ and that:
 - do not contain AND
 - have not been contaminated with bovine specified risk materials (SRMs)⁴.
- b. All bovine-origin rendered protein materials⁵ originate only from countries of Negligible BSE Risk Status.
5. The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health.
6. Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or by-product derived from animals of a lesser zoosanitary status.

Place: _____	Date: _____
Official Stamp /	Signature of Official Veterinarian _____

EXAMPLE: ANIMAL HEALTH EXPORT CERTIFICATE VS FORM 17-140

The certificate is authorized by law 21 U.S.C. 112). While you are not required to respond, no health certificate can be issued unless the owner provides the following information:

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
VETERINARY SERVICES

UNITED STATES ORIGIN HEALTH CERTIFICATE
(This document does not replace Certificate of Inspection of Export Animals, VS Form 17-27)

1. CONSIGNOR'S NAME (Last name, first name, middle initial or business name) 2. CERTIFICATE NO. 3. PAGE NO.
1 OF

4. DATE ISSUED 5. U.S. PORT OF EMBARKATION (City and State) 6. STATE CODE 7. CONSIGNOR'S STREET ADDRESS (Mailing Address) 8. CONSIGNOR'S CITY (or Town)
12. CONSIGNOR'S STATE 13. STATE CODE 14. ZIP CODE

9. SEMEN ("X" if yes) 10. NO. DOSES OF SEMEN 11. TRANSPORTATION CLASS
1 - Rail 3 - Air
2 - Truck 4 - Ocean

15. SPECIES ("X" one - use VS Form 17-5 for Poultry)
 01 BOVINE 02 PORCINE 03 OVINE 04 CAPRINE
 05 EQUINE 08 OTHER WILDLIFE - MAMMAL
 09 OTHER (Specify)

16. CONSIGNEE'S NAME AND STREET ADDRESS (Mailing Address) DESTINATION COUNTRY ENTER CODE

NEGATIVE TUBERCULIN READING: 48 HRS 72 HRS

BRUCELLOSIS BLOOD SAMPLE COLLECTED

NEGATIVE RESULTS OF OTHER TESTS

17. FARM ORIGIN
Owner's name (Last name, two initials, or business name)
Owner's street address
Owner's city/town, State code (FIPS code on reverse) & zip code

18. INDIVIDUAL IDENTIFICATION (Instructions for columns A, B, C & D on reverse)

D NO. OR DESCRIPTION A	AGE B	SEX C	BREED D	E	DATE F	DATE G	DATE H	VAC I	1/25 J	1/50 K	1/100 L	CERTIFIED BRUCELLOSIS FREE AREA				
												DATE M	DATE N	DATE O		

20. NAME OF ISSUING VETERINARIAN (Last name, first name, middle initial, please print)

21. STATUS 2 Federal 1 State 3 Accredited

22. TOTAL NO. OF ANIMALS (Certified for export or donated semen) (include nos. from all attached VS Forms 17-140A)

23. SIGNATURE OF ENDORSING FEDERAL VET (Type, print, or stamp)

24. NAME OF ENDORSING FEDERAL VET (Type, print, or stamp)

25. SIGNATURE OF ISSUING VETERINARIAN

VALID ONLY IF USDA VETERINARY SEAL APPEARS HERE

CERTIFICATION BY ISSUING VETERINARIAN
This is to certify that the animals identified above were inspected by me on this date and found to be free from evidence of communicable diseases and insofar as can be determined exposure thereto; the premises of origin are not under Federal or State quarantine because of animal disease; the animals were all negative to the tests shown on the dates indicated. Arrangements have been made for the animals to be handled in a transporting vehicle that has been cleaned and disinfected since last used for livestock and for movement to the port of embarkation without exposure to other animals en route, except those meeting these health requirements. The shipment must be accompanied to the port of export with this certificate.

VS FORM 17-140 (MAR 98) Previous edition may be used. PART 1 - TO ACCOMPANY SHIPMENT

EXAMPLE: STATE APPLICATION FOR INSPECTION AND CERTIFICATION OF PLANTS AND PLANT PRODUCTS

No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353).
FORM APPROVED OMB NO. 0579-0052

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0052. The time required to complete this information collection is estimated to average .25 minutes/hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
PLANT PROTECTION AND QUARANTINE
APPLICATION FOR INSPECTION AND CERTIFICATION OF DOMESTIC PLANTS AND PLANT PRODUCTS FOR EXPORT

INSTRUCTIONS: **APPLICANT** - Forward original to the Officer in Charge where inspections, treatment, and certification will be given (Item 4). Complete items 1 thru 11. **OFFICER** - Complete items 12 thru 17.

1. NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF APPLICANT (or exporters agent)	
2. NAME AND ADDRESS OF FOREIGN CONSIGNEE		4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION (Port and location)	
		5. APPROX. DATE OF DEPARTURE	6. PORT OF EXPORT
7. DESCRIPTION OF ARTICLES TO BE CERTIFIED			
a. QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME			
b. NUMBER AND DESCRIPTION OF PACKAGES			
c. DISTINGUISHING MARKS			
d. CERTIFIED ORIGIN			
8. DECLARED MEANS OF CONVEYANCE		I certify that the origin (place where grown) of the articles listed is as represented.	
9. DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or exporters agents)	11. DATE
EXPORT INSPECTION DATA - (To be filled in by Plant Protection and Quarantine Officer)			
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED	14. % OF MATERIALS INFESTED
15. FINDINGS AND/OR TREATMENT GIVEN (Use reverse if necessary)			
16. SIGNATURE		17. DATE AND TIME INSPECTED	

PPQ FORM 572
(APR 2005)

EXAMPLE: PAGE 1 OF 4 - ANIMAL HEALTH EXPORT CERTIFICATE FOR AQUATIC ANIMALS

Page ____ of ____
Health Certificate No.: _____
 (Valid only if USDA Veterinary Seal appears over the certificate number)

AQUATIC ANIMAL HEALTH EXPORT CERTIFICATE

LIVE AQUATIC ANIMALS (Finfish/Molluscs/Crustaceans) FOR FOOD SERVICE OR RETAIL USE FROM THE UNITED STATES OF AMERICA TO CANADA

NOTE: THIS CERTIFICATE IS NOT TO BE USED FOR IN TRANSIT SHIPMENTS

Import Permit No. _____

SECTION 1 - ORIGIN OF AQUATIC ANIMALS

State/Territory and Country of Export: _____

Issuing Authority: **United States Department of Agriculture (USDA) – Animal Plant Health Inspection Service (APHIS)**

Name and Address of Consignor:

Name and Address of Premises(s) of Origin:

SECTION 2 - DESTINATION OF AQUATIC ANIMALS

Country of Destination: **CANADA**

Name and Address of Consignee:

SECTION 3 - TRANSPORT INFORMATION

Transport means and conveyance identification: _____
 (flight #, etc.)

SECTION 4 - DESCRIPTION OF CONSIGNMENT

Cultured¹ Wild

Species (scientific name)	Common name	Quantity or total weight (kg)	Lot identifier or date code	Lifestage	Disease(s) to which species is susceptible

¹ Cultured refers to the keeping of live aquatic animals with some method of intervention in the rearing process such as feeding, seeding or protecting from predators.

EXAMPLE: PAGE 2 OF 4 - ANIMAL HEALTH EXPORT CERTIFICATE FOR AQUATIC ANIMALS

Health Certificate No: _____
(Valid only if USDA Veterinary Seal
appears over the certificate number)

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**Export Certification Requirements for Live Aquatic Animals
(Finfish/Molluscs/Crustaceans) for Food Service/Retail Use**

Zoosanitary Requirements for Cultivated Aquatic Animals:

1. The OIE listed disease(s) listed in Section 4 is/are compulsorily notifiable to the competent authority in the exporting country and/or reports of suspicion of non-OIE diseases of concern are investigated by the competent (federal or state) authority.
2. The aquatic animals to be exported are not under any restriction by the exporting country's competent authority or intended for destruction or slaughter for disease control purposes.
3. The transport water that is used is sourced from the premises of origin of the aquatic animals, or has been treated in a manner that will prevent the introduction of the disease(s) in Section 4.

Federal endorsing official name and address

Date and signature of Federal endorsing official

Official Stamp:

EXAMPLE: PAGE 3 OF 4 - ANIMAL HEALTH EXPORT CERTIFICATE FOR AQUATIC ANIMALS

Page ____ of ____
Health Certificate No: _____
(Valid only if USDA Veterinary Seal
appears over the certificate number)

PRODUCER'S DECLARATION for live aquatic animals for food services and retail use.

I. Producer's details

Name and address of production facility:

II. Animal

Description of Animal:

Origin of Animal:

Date of export as appears on the packaging or immediate container of the animals:

III. Animal Management:

1. For finfish species, the shipment is, to the best of my knowledge, free from visible ectoparasites and intermediate hosts.
2. Either
 To the best of my knowledge, only those species specified in the import permit are included in the shipment and the shipment is free from fellow travellers.
OR
 For molluscs, if the shipment is not free of fellow travellers, then the shipment has been treated in a manner which will destroy the fellow travellers.

(Check the appropriate box)

**EXAMPLE:
PAGE 4 OF
4 -
ANIMAL
HEALTH
EXPORT**

CERTIFICATE FOR AQUATIC ANIMALS

Page ____ of ____

Health Certificate No: _____

(Valid only if USDA Veterinary Seal
appears over the certificate number)

IV. Packing and Shipping Attestations:

1. The aquatic animals are packaged in shipping containers, holding units and/or conveyances that are either new or cleaned and disinfected.
2. The shipping containers and/or holding units prevent release of the shipping contents (eg. water or animals) while en route.
3. The aquatic animal(s) being presented for importation are packaged in a manner which prevents contact or exchange of animals or water between different shipments of aquatic animals on the same conveyance.
4. The package was labeled indicating the detailed contents, including the location in which the aquatic animal(s) was born, the taxonomic name of the aquatic animal(s), whether the aquatic animal(s) was(were) wild or farmed, and the number or biomass of the aquatic animal(s) in the shipment.

Signed

Date

Position within the Company:

Name and address of Company employee:

Appendix II. USDA Organic seal and the Canada Organic/Biologique logo



Glossary of Acronyms

AMS - Agricultural Marketing Service of USDA

APHIS – Animal and Plant Health Inspection Service of USDA

BtB – Beyond the Border Action Plan

CFIA – Canadian Food Inspection Agency

EV – Export Verification

FDA _ Food and Drug Administration

FSIS – Food Safety and Inspection Service of USDA

HACCP – Hazard Analysis and Critical Control Point

NAFTA – North American Free Trade Agreement Certificate of Origin

NOAA- National Oceanic And Atmospheric Administration

NOP – National Organic Program

PPQ – Plant and Products Quality Form

RTE – Ready-To-Eat